Latoya Yamamoto

Compliance Analyst

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SUMMARY

Compliance analyst with 5 years in banking and payments, focused on AML transaction monitoring, KYC reviews and policy audits. Cleared a backlog of 1,200 alerts and rewrote the escalation procedure adopted across 3 teams. Known for clear case notes that hold up under regulator review.

EXPERIENCE

Compliance Analyst — Pinecrest Payments

Charlotte, NC · Feb 2023 – Present

- Reviewed 180 AML alerts a month in Actimize, filing 14 SARs with documentation that passed 2 regulatory exams without a single rework request.
- Cleared a 1,200-alert backlog inherited from a system migration in 10 weeks by rebuilding the triage rules with the risk team.
- Rewrote the escalation procedure for high-risk customers, cutting average time to decision from 9 days to 3 across 3 teams.
- Ran quarterly KYC file reviews on 90 commercial accounts, flagging 11 with missing beneficial ownership records before the annual audit.

Compliance Associate — Tarboro Community Bank

Durham, NC · Aug 2020 – Jan 2023

- Monitored wire transfers and ACH activity for 1 branch network, escalating 60 suspicious patterns a year to the BSA officer.
- Updated 8 policy documents to match a 2021 FinCEN rule change, briefing 25 branch staff on the new requirements.
- Logged and tracked 400+ customer due diligence files annually in a shared tracker, cutting missed renewal dates to zero.

SKILLS

Regulatory: BSA/AML, KYC/CDD, OFAC screening, SAR filing, FinCEN guidance

Tools: Actimize, SAS, LexisNexis, Excel (pivot tables, VLOOKUP)

Process: Policy drafting, control testing, audit response, case documentation

Risk: Transaction monitoring, sanctions screening, risk scoring models

CERTIFICATIONS

CAMS (Certified Anti-Money Laundering Specialist), 2022

EDUCATION

B.A. Criminal Justice, University of North Carolina at Charlotte, 2020

Fictional example by FindAJobAI: the person, employers and numbers are invented.