Emmett Obrien

Financial Advisor
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SUMMARY

Financial advisor with 6 years of experience serving individual and small-business clients, managing $42M in AUM across 110 households. Grew assets 18% last year through referrals and a retirement-income planning process that raised client retention to 96%. Holds Series 65 and the CFP designation.

EXPERIENCE

Financial Advisor — Summit Peak Wealth Partners

Denver, CO · Mar 2022 – Present

- Manage $42M in AUM across 110 households, growing assets 18% last year through referrals and consolidated outside accounts.
- Built a retirement-income planning process for clients within 5 years of retirement, now used with 40 households and credited with 96% client retention.
- Converted 25 prospects into clients through quarterly educational workshops on Social Security timing and Roth conversions.
- Rebalanced 110 client portfolios quarterly against target allocations, keeping average drift under 3% through 2 volatile market quarters.

Associate Financial Advisor — Larkspur Financial Group

Denver, CO · Jul 2019 – Feb 2022

- Supported a senior advisor's book of $60M in AUM, preparing financial plans and meeting materials for 80 client households.
- Built retirement projections and Monte Carlo analyses in eMoney for 150+ client meetings, catching 20 plans with insufficient savings rates.
- Opened 45 new accounts in 2 years by following up on referrals within 48 hours.

SKILLS

Planning: Retirement income, tax-efficient investing, estate basics, Social Security and Medicare timing

Tools: eMoney, Riskalyze, Salesforce, Morningstar, Schwab/Fidelity platforms

Products: Mutual funds, ETFs, annuities, life insurance, 401(k) rollovers

Client: Client acquisition, portfolio reviews, referral generation, workshop presentations

CERTIFICATIONS

CERTIFIED FINANCIAL PLANNER (CFP), 2021

Series 65

EDUCATION

B.S. Finance, University of Colorado Boulder, 2019

Fictional example by FindAJobAI: the person, employers and numbers are invented.